

# Finitely generated, non-artinian monolithic modules.

Ian M. Musson  
 Department of Mathematical Sciences  
 University of Wisconsin-Milwaukee  
 email: [musson@uwm.edu](mailto:musson@uwm.edu)

January 19, 2013

## Abstract

We survey noetherian rings  $A$  over which the injective hull of every simple module is locally artinian. Then we give a general construction for algebras  $A$  that do not have this property. In characteristic 0, we also complete the classification of down-up algebras with this property which was begun in [CLPY10] and [CM].

## 1 Introduction

A module  $M$  is *monolithic* if the intersection of all nonzero submodules of  $M$  is nonzero. The intersection of all nonzero submodules of a monolithic module  $M$  is a simple submodule known as the *lith* of  $M$ . Thus monolithic modules have a unique lith! This terminology is due to Roseblade [Ros73], [Ros76]. It was pointed out to me by Ken Goodearl that monolithic modules are also known as subdirectly irreducible modules. We consider the following property of a noetherian ring  $A$ .

( $\diamond$ ) Every finitely generated monolithic  $A$ -module is artinian.

Equivalently, the injective hull of every simple  $A$ -module is locally artinian. Some history concerning property ( $\diamond$ ) is given in the introduction to [CM]. The property is not well understood, as is shown by the following quite baffling lists of examples.

The following rings  $A$  have property ( $\diamond$ ).

(A.0) Commutative noetherian rings, and more generally PI and FBN rings [Jat74b].

The next two examples are in fact PI rings.

(A.1) The coordinate ring of the quantum plane, that is the algebra generated by elements  $a, b$  subject to the relation  $ab = qba$  when  $q \in K$  is a root of unity.

(A.2) The quantized Weyl algebra, that is the algebra generated by elements  $a, b$  subject to the relation  $ab - qba = 1$  when  $q \in K$  is a root of unity.

- (A.3) The enveloping algebra  $U(\mathfrak{sl}(2, K))$  where  $K$  a field of characteristic 0, [Dah84].
- (A.4) The group rings  $\mathbb{Z}G$  and  $KG$  where  $K$  is a field which is algebraic over a finite field and  $G$  is polycyclic-by-finite, [Jat74], [Ros76].
- (A.5) Prime noetherian rings of Krull dimension 1, [CLPY10], [Mus80].
- (A.6) There are simple noetherian, non-artinian rings for which any simple module is injective, and obviously these rings have property  $(\diamond)$  [Coz70].

The following rings  $A$  do not have property  $(\diamond)$ .

- (B.1) The coordinate ring of the quantum plane when  $q \in K \setminus \{0\}$  is not a root of unity, [CM].
- (B.2) The quantized Weyl algebra, when  $q \in K \setminus \{0\}$  is not a root of unity, [CM].
- (B.3) The enveloping algebra  $U(\mathfrak{b})$  over an algebraically closed field of characteristic 0, when  $\mathfrak{b}$  is finite dimensional, solvable and non-nilpotent, [CH80], [Mus82].
- (B.4) The group algebra  $KG$  where  $K$  is a field which is not algebraic over a finite field and  $G$  is polycyclic-by-finite which is not nilpotent-by-finite, [Mus80].
- (B.5) The Goodearl-Schofield example: a certain non-prime noetherian ring of Krull dimension 1, [GS86].

What has been lacking up to now is a general construction for finitely generated, non-artinian, monolithic modules. In the next section we give such a construction under fairly mild conditions on  $A$ . We show that examples (B.1)-(B.3) satisfy these conditions. We also apply our construction to down-up algebras in characteristic 0. Some open problems are given in the last section.

I thank Allen Bell and Paula Carvalho for useful comments, and Toby Stafford for encouraging me to finish this paper.

## 2 The construction.

Let  $K$  be a field. We make the following assumptions.

- (1)  $A$  is a noetherian  $K$ -algebra without zero divisors.
- (2)  $w$  is a normal element of  $A$ .
- (3)  $J$  is a maximal left ideal such that  $w - \mu \in J$  for some non-zero  $\mu \in K$ .

From (1) and (2) it follows that there is an automorphism  $\sigma$  of  $A$  such that for any  $x \in A$  we have

$$wx = \sigma(x)w. \tag{2.1}$$

Suppose that  $x$  is an element of  $A$  that is not a unit and set  $I = Jx$ . Then we have a short exact sequence

$$0 \longrightarrow L \longrightarrow M \longrightarrow N \longrightarrow 0,$$

where  $L = Ax/I$ ,  $M = A/I$  and  $N = A/Ax$ .

**Lemma 2.1.**  *$L \cong A/J$  is a simple  $A$ -module.*

*Proof.* The map  $f$  from  $A$  to  $L$  sending  $a$  to  $ax + Jx$  is clearly surjective with kernel containing  $J$ . If  $a \in \text{Ker } f$ , then  $(a - j)x = 0$  for some  $j \in J$ , whence  $a \in J$ .  $\square$

An interesting feature of our construction is that remaining assumptions involve only  $L$  and  $N$ . There is a single additional assumption on  $L$ .

(4) For all  $m \geq 0$  the equation

$$\sigma^m(x)a - 1 \in J \tag{2.2}$$

has no solution for  $a \in A$ . For  $z \in A$ , denote the image of  $z$  in  $M = A/I$  by  $\bar{z}$ . Then equation (2.2) is equivalent to

$$\sigma^m(x)a\bar{x} = \bar{x} \tag{2.3}$$

and equation (2.3) always has a solution if  $L$  is divisible. Since  $L$  obviously cannot be injective, some condition similar to (4) must be necessary if our construction is to go through.

Finally we make the following assumptions on  $N$ .

(5)  $N$  has a strictly descending chain of submodules

$$N \supset wN \supset \dots \supset w^m N \supset \dots \tag{2.4}$$

(6) Every nonzero submodule of  $N$  contains  $w^m N$  for some  $m$ .

**Theorem 2.2.** *Under assumptions (1)-(6),  $M$  is an essential extension of  $L$ .*

*Proof.* Note that the assumptions are unchanged if we replace  $w$  by  $\mu^{-1}w$ . Thus we can assume that  $\mu = 1$ . Suppose  $U$  is a left ideal of  $A$  strictly containing  $I$ . We need to show that  $U$  contains  $Ax$ . It follows easily from (6) that  $U$  contains an element of the form  $w^m - ax$  for some  $a \in A$ . Set  $y = \sigma^m(x)$ . Then from (2.1) and (3) we have

$$\begin{aligned} y(w^m - ax) &= (w^m - 1)x + (1 - ya)x \\ &\equiv (1 - ya)x \pmod{Jx}. \end{aligned} \tag{2.5}$$

For  $z \in A$ , denote the image of  $z$  in  $M = A/I$  by  $\bar{z}$ . From (2.5) and assumption (4) we have  $0 \neq (1 - ya)\bar{x} \in \overline{U} \cap A\bar{x}$ , so as  $L = A\bar{x}$  is simple it follows that  $A\bar{x} \subseteq \overline{U}$ . The result follows easily.  $\square$

### 3 Examples (B.1)-(B.3).

To check assumption (4) we use the following easy result.

**Lemma 3.1.** *If for all  $m \geq 0$ , there is a subring  $B$  of  $A$  such that  $A = B \oplus J$ , and  $\sigma^m(x) \in B$ , then assumption (4) holds.*

*Proof.* If  $\sigma^m(x)a - 1 \in J$ , write  $a = b + j$  with  $b \in B$  and  $j \in J$ . Then  $\sigma^m(x)b - 1 \in J \cap B = 0$ , whence  $\sigma^m(x)$  is a unit in  $A$  a contradiction, since  $x$  is assumed to be a non-unit.  $\square$

It is not always possible to choose  $B$  to be  $\sigma$ -invariant in Lemma 3.1. From Theorem 2.2 and the next two results, we obtain the non-artinian, monolithic modules in [CM] Theorems 3.1 and 4.2.

Let  $A = K[a, b]$  be the coordinate ring of the quantum plane, as in (B.1) where  $ab = qba$  and  $q \in K \setminus \{0\}$  is a not root of unity. Let  $w = ab$  and  $J = A(ab - 1)$ ,  $B = K[a]$  and  $x = a - 1 \in B$ . Then  $w$  is a normal element and the automorphism  $\sigma$  determined by equation (2.1) satisfies  $\sigma(a) = q^{-1}a$  and  $\sigma(b) = qb$ .

**Proposition 3.2.**

- (a)  $J$  is a maximal left ideal of  $A$  and assumption (4) holds.
- (b) If  $N = A/Ax$ , then  $N$  is non-artinian, and a complete list of non-zero submodules of  $N$  is given by equation (2.4).

*Proof.* Since  $A = B \oplus J$  and  $\sigma$  preserves  $B$ , the result follows from Steps 1 and 2 in the proof of [CM] Theorem 3.1.  $\square$

Let  $A = K[a, b]$  be the quantized Weyl algebra, as in (B.2) where  $ab - qba = 1$  and  $q \in K \setminus \{0\}$  is a not root of unity. If  $w = ab - ba$ , then  $w$  is a normal element of  $A$  and  $w - 1 = (q - 1)ba \in J = Aa$ . The automorphism  $\sigma$  determined by equation (2.1) satisfies  $\sigma(a) = q^{-1}a$  and  $\sigma(b) = qb$ . We have  $A = B \oplus J$  with  $B = K[b]$ , and  $\sigma(B) = B$ . Let  $x = (1 - q)b - 1 \in B$ .

**Proposition 3.3.**

- (a)  $J$  is a maximal left ideal of  $A$  and assumption (4) holds.
- (b) If  $N = A/Ax$ , then  $N$  is non-artinian, and a complete list of non-zero submodules of  $N$  is given by equation (2.4).

*Proof.* By [CM] Lemma 4.1,  $J$  is a maximal left ideal of  $A$ , and (4) follows as before. Note that  $N \cong K[a]$  as a  $K[a]$ -module. Let  $u_0 = 1 + Ax$ , and define inductively  $u_{n+1} = (q^{-n}a - 1)u_n$ . Then

$$au_n = q^n(u_n + u_{n+1}) \quad \text{and} \quad bu_n = \frac{q^{-n}}{1 - q}u_n.$$

Thus (b) follows as in the proof of [CM] Theorem 4.2 (b).  $\square$

Next we show that certain Ore extensions with Gelfand-Kirillov dimension 2 do not have property  $(\diamond)$ . Assume that  $K$  has characteristic zero, and let  $d$  be the derivation of the polynomial algebra  $K[a]$  determined by  $d(a) = a^r$  where  $r \geq 1$ . Let  $A = K[a, b]$  be the resulting Ore extension, where for  $p \in K[a]$ ,

$$pb = bp + d(p). \quad (3.1)$$

In particular

$$ab = ba + a^r.$$

Thus if  $w = a$ , then  $w$  is a normal element and the automorphism  $\sigma$  determined by equation (2.1) satisfies  $\sigma(a) = a$  and  $\sigma(b) = b + a^{r-1}$ . We show below that  $A$  does not have property  $(\diamond)$ . When  $r = 1$ ,  $A$  is isomorphic to the enveloping algebra  $U(\mathfrak{b})$  where,  $\mathfrak{b}$  is a Borel subalgebra of  $\mathfrak{sl}(2, K)$ . Now by [BGR73] Lemma 6.12, if  $K$  is algebraically closed, then any finite dimensional solvable Lie algebra which is non-nilpotent has  $\mathfrak{b}$  as an image, and thus we recover the result in (B.3).

**Lemma 3.4.** *Any ideal invariant under  $d$  is generated by a power of  $a$ .*

*Proof.* This follows from the well known fact that if an ideal  $Q$  is invariant under a derivation, then so too are all the prime ideals that are minimal over  $Q$ , see for example [BGR73] Lemma 4.1.  $\square$

Let  $J = A(a - 1)$  and  $x = b - 1$ .

**Proposition 3.5.**

- (a)  $J$  is a maximal left ideal of  $A$  and assumption (4) holds.
- (b) If  $N = A/Ax$ , then  $N$  is non-artinian, and a complete list of non-zero submodules of  $N$  is given by equation (2.4).
- (c) The submodules of  $N$  are pairwise non-isomorphic.

*Proof.* (a) Set  $v_n = b^n + J$ . The elements  $\{v_n\}_{n \geq 0}$  form a basis for  $A/J$ , and  $av_0 = v_0$ . Assume by induction that

$$(a - 1)^n v_n = n! v_0. \quad (3.2)$$

Then by equation (3.1), we have

$$\begin{aligned} (a - 1)^{n+1} v_{n+1} &= (a - 1)^{n+1} b v_n \\ &= b[(a - 1)^{n+1} + (n + 1)a^r(a - 1)^n] v_n \\ &= (n + 1)! v_0 \end{aligned}$$

It follows easily from equation (3.2) that  $A/J$  is simple. Since  $\sigma^m(x) = b - 1 + ma^{r-1}$  we have  $A = B \oplus J$  where  $B = K[\sigma^m(x)]$ , thus (4) holds.

(b) Since  $A = K[a] \oplus Ax$ , we can identify  $N$  with  $K[a]$  as a  $K[a]$ -module. Suppose  $N'$  is a submodule of  $N$ , and  $N' = pK[a]$  for some  $p \in K[a]$ . Then

$$\begin{aligned} bp &= pb - d(p) \\ &\equiv p - d(p) \pmod{Ax}, \end{aligned}$$

and hence  $d(p) \in pK[a]$ . Thus (b) follows from Lemma 3.4.

(c) As above we identify  $N$  with  $K[a]$ . If  $\phi : a^m N \longrightarrow a^{m_1} N$  is an isomorphism, then  $\phi(a^m) = a^{m_1} q(a)$  for some polynomial  $q$  with  $q(0) \neq 0$ . Thus

$$\begin{aligned}\phi(ba^m) &= \phi(a^m - ma^{m+r-1}) \\ &= (1 - ma^{r-1})a^{m_1}q(a).\end{aligned}$$

and

$$\begin{aligned}b\phi(a^m) &= b(a^{m_1}q(a)) \\ &= a^{m_1}q(a) - a^r(a^{m_1}q(a))'.\end{aligned}$$

This easily gives

$$(m_1 - m)a^{m_1+r-1}q(a) = a^{m_1+r}q'(a).$$

Now we must have  $m = m_1$  since otherwise the left side has 0 as a root of multiplicity at most  $m - r + 1$ , whereas the right side has 0 as a root of multiplicity at least  $m - r$ .  $\square$

## 4 Down-up Algebras.

Given a field  $K$  and  $\alpha, \beta, \gamma$  elements of  $K$ , the associative algebra  $A = A(\alpha, \beta, \gamma)$  over  $K$  with generators  $d, u$  and defining relations

$$(R1) \quad d^2u = \alpha dud + \beta ud^2 + \gamma d$$

$$(R2) \quad du^2 = \alpha udu + \beta u^2d + \gamma u$$

is called a down-up algebra. Down-up algebras were introduced by G. Benkart and T. Roby [BR98], [BR99]. In [KMP99] it is shown that  $A = A(\alpha, \beta, \gamma)$  is noetherian if and only if  $\beta \neq 0$ , and that these conditions are equivalent to  $A$  being a domain. The main result of this section is as follows.

**Theorem 4.1.** *If  $A(\alpha, \beta, \gamma)$  is a noetherian down-up algebra over a field  $K$  of characteristic zero, then any finitely generated monolithic  $A(\alpha, \beta, \gamma)$ -module is artinian if and only if the roots of  $X^2 - \alpha X - \beta$  are roots of unity.*

From now on we assume that  $X^2 - \alpha X - \beta = (X - 1)(X - \eta)$  where  $\eta = -\beta$  is not a root of 1, and that  $\beta \neq 0$ . Thus  $A(\alpha, \beta, \gamma)$  is a Noetherian domain by the above remarks, and  $\alpha + \beta = 1$ . In addition we assume that  $\gamma \neq 0$ . Hence  $A(\alpha, \beta, \gamma)$  is isomorphic to a down-up algebra

$$A_\eta = A(1 + \eta, -\eta, 1).$$

To prove Theorem 4.1 it is enough to prove the result below, as noted in [CM].

**Theorem 4.2.** *If  $\eta$  is not a root of unity, then  $A_\eta$  does not have property  $(\diamond)$ .*

For the remainder of this section we assume that  $A = A_\eta$  as in Theorem 4.2. We begin with some consequences of (R1) and (R2). Since  $\eta \neq 1$ , we have  $\alpha \neq 2$ . Set  $\epsilon = (\alpha - 2)^{-1}$ , and  $\phi = 1 - \alpha\epsilon = -2(\alpha - 2)^{-1}$ . As noted in [CM00] Section 1.4 Case 2, the element  $w = -ud + du + \epsilon$  satisfies

$$dw = \eta wd, \quad uw = \eta^{-1}wu,$$

and hence  $wA = Aw$ . We remark that  $A/Aw$  is isomorphic to the first Weyl algebra (this fact is not used below).

**Lemma 4.3.** *For  $n \geq 1$ , we have*

$$du^{2n} = u^{2n}d + n\phi u^{2n-1} + \alpha \sum_{i=0}^{n-1} \eta^{-2i-1} w u^{2n-1} \quad (4.1)$$

and for  $n \geq 0$ ,

$$du^{2n+1} = u^{2n+1}d + u^{2n}w + (n\phi - \epsilon)u^{2n} + \alpha \sum_{i=0}^{n-1} \eta^{-1-2i} w u^{2n}. \quad (4.2)$$

*Proof.* We have

$$du = w + ud - \epsilon. \quad (4.3)$$

Using (R2), then (4.3) and the fact that  $\alpha + \beta = 1$ , we see that for  $j \geq 2$ ,

$$\begin{aligned} du^j &= [\alpha udu + \beta u^2d + u]u^{j-2} \\ &= [\alpha u(w + ud - \epsilon) + \beta u^2d + u]u^{j-2} \\ &= [(\alpha + \beta)u^2d + \alpha uw + (1 - \alpha\epsilon)u]u^{j-2} \\ &= u^2du^{j-2} + \alpha u w u^{j-2} + \phi u^{j-1}. \end{aligned}$$

The result follows easily by induction.  $\square$

Consider the module  $N = A/A(d-1)$ , and if  $a \in A$ , denote the image of  $a$  in  $N$  by  $\bar{a}$ . Then  $N$  has a basis  $w^i \bar{u}^j$  with  $i, j \geq 0$ . Thus if  $B = K[u, w]$ , then  $N \cong B$  as a left  $B$ -module. Since  $dw^m = \eta^m w^m d$ ,  $N$  has a strictly descending chain of submodules as in Assumption (5). Next we define a filtration on  $N$  by setting

$$N_n = \sum_{i=0}^n u^i K[\bar{w}] = \sum_{i=0}^n K[w] \bar{u}^i.$$

It follows from (4.1) and (4.2) that  $dN_n \subseteq N_n$ . Also for  $f \in K[w]$ , we have

$$df(w) \bar{u}^n \equiv f(\eta w) \bar{u}^n \pmod{N_{n-1}}. \quad (4.4)$$

**Lemma 4.4.** *If  $U$  is a non-zero submodule of  $N$ , then  $U$  contains  $\bar{w}^m$  for some  $m$ .*

*Proof.* Suppose that  $n$  is minimal such that  $U \cap N_n \neq 0$ . We claim that  $n = 0$ . If this is not the case then  $U + N_{n-1}$  contains an element of the form  $x = f(w)\overline{u}^n$  for some non-zero polynomial  $f$ . Write  $f(w) = \sum_{i=r}^s a_i w^i$ , where  $a_r \neq 0 \neq a_s$ . If  $r < s$ , then  $U + N_{n-1}$  contains an element of the form  $y = w^r \overline{u}^n$ , because  $\prod_{i=r+1}^s (d - \eta^i)x \in U + N_{n-1}$ . Thus if  $n = 2m$  is even, we can assume that

$$y = w^r \overline{u}^{2m} + \sum_{i=0}^{2m-1} g_i(w) \overline{u}^i \in U.$$

Then

$$(d - \eta^r)y \equiv [\eta^r w^r (m\phi + \alpha \sum_{i=0}^{n-1} \eta^{-2i-1} w) + g_{2m-1}(\eta w) - \eta^r g_{2m-1}(w)] \overline{u}^{2m-1} \pmod{N_{n-2}}.$$

By the choice of  $n$ ,  $(d - \eta^r)y$  must be zero mod  $N_{n-2}$ . Note that the coefficient of  $w^r$  in  $g_{2m-1}(\eta w) - \eta^r g_{2m-1}(w)$  is zero. Thus looking at the coefficient of  $w^r \overline{u}^{2m-1}$  on the right side above yields  $m\phi = 0$ , which is a contradiction. Thus  $n = 2m + 1$  is odd, and we can assume that

$$y = w^r \overline{u}^n + \sum_{i=0}^{2m} f_i(w) \overline{u}^i \in U + N_{n-2}.$$

Then mod  $N_{n-2}$ ,

$$\begin{aligned} (d - \eta^r)y &\equiv \eta^r w^r [u^{2m} \overline{w} + (m\phi - \epsilon) \overline{u}^{2m} + \alpha \sum_{i=0}^{m-1} \eta^{-1-2i} w \overline{u}^{2m}] \\ &\quad + [f_{2m}(\eta w) - \eta^r f_{2m}(w)] \overline{u}^{2m}. \end{aligned}$$

By the choice of  $n$ ,  $(d - \eta^r)y$  must be zero mod  $N_{n-2}$ . Then looking at the coefficient of  $w^r \overline{u}^{2m}$  we obtain  $m\phi = \epsilon$  which leads to the contradiction  $2m + 1 = 0$ . Thus  $U$  contains an element of the form  $f(\overline{w})$  with  $f \neq 0$ , and the result follows easily.  $\square$

We have verified assumptions (5) and (6) for the module  $N$ , and we now turn our attention to the simple module  $L$ .

Following [BR98] Proposition 2.2, we define the Verma module  $V(\lambda)$  with highest weight  $\lambda \in K$ . Let  $\lambda_{-1} = 0$ ,  $\lambda_0 = \lambda$  and for each  $n > 0$  set,

$$\lambda_n = \alpha \lambda_{n-1} + \beta \lambda_{n-2} + 1. \tag{4.5}$$

The Verma module  $V(\lambda)$  has basis  $\{v_n | n \in \mathbb{N}\}$ . The action of  $A$  is defined by

$$d.v_0 = 0, \text{ and } d.v_n = \lambda_{n-1} v_{n-1}, \text{ for all } n \geq 1$$

$$u.v_n = v_{n+1}.$$

In [BR98] Proposition 2.4 it is shown that  $V(\lambda)$  is simple if and only if  $\lambda_n \neq 0$  for all  $n \geq 0$ . Furthermore, by [CM00] Lemma 2.5,  $\lambda_{n-1} = 0$  if and only if

$$\lambda(\eta - 1) = -(1 - n(\sum_{i=0}^n \eta^i)^{-1}). \tag{4.6}$$

**Lemma 4.5.** *The algebra  $A$  has infinitely many pairwise non-isomorphic simple Verma modules.*

*Proof.* The result is evident if  $K$  is uncountable, because then we simply require that the highest weight  $\lambda$  does not satisfy the condition in (4.6) for any  $n$ . In general we argue as follows. By [CM00] Proposition 5.5, any Verma module has length at most 3, so by [BR98] Proposition 2.23, any Verma module has a simple Verma submodule. Also if  $V(\lambda)$  is not simple this submodule is generated by  $v_n$  where  $n$  is the largest integer such that  $\lambda_{n-1} = 0$ . This submodule is isomorphic to  $V(\lambda_n)$ . Note that the case covered by [BR99] does not arise here. Now if  $\mu = \lambda_n$  and  $V(\mu)$  is simple, we can solve the recurrence (4.5) in reverse to find all Verma modules  $V(\lambda)$  containing as a  $V(\mu)$  simple submodule. Since there can be at most 3 such  $\lambda$  and  $K$  is infinite, the result follows.  $\square$

Unfortunately it does not seem possible to verify assumption (4) for a simple Verma module. Instead we consider the universal lowest weight modules  $W(\kappa)$  defined in [BR98] Proposition 2.30 (a). For  $\kappa \in K$ , set  $\kappa_{-1} = 0, \kappa_0 = \kappa$  and define for each  $n > 0$ ,

$$\kappa_n = \eta^{-1}(\alpha\kappa_{n-1} - \kappa_{n-2} + 1). \quad (4.7)$$

Then  $W(\kappa)$  has basis  $\{a_n | n \in \mathbb{N}\}$ . The action of  $A$  is defined as follows,

$$u.a_0 = 0, \text{ and } u.a_n = \kappa_{n-1}a_{n-1}, \text{ for all } n \geq 1$$

$$d.a_n = a_{n+1}.$$

**Corollary 4.6.** *The algebra  $A$  has infinitely many pairwise non-isomorphic simple lowest weight modules  $W(\kappa)$ .*

*Proof.* By [CM00] Lemma 4.1, there is an isomorphism from  $A$  onto  $A' = A_{\eta^{-1}}$  which interchanges the generators  $u$  and  $d$ . Under this isomorphism, any Verma module for  $A'$  becomes a module of the form  $W(\kappa)$  for  $A$ , so the result follows.  $\square$

*Proof of Theorem 4.2.* Let  $L = W(\kappa)$  be a simple lowest weight module, and let  $J$  be the annihilator of the lowest weight vector  $a_0$  in  $A$ . Then  $J = Au + A(ud - \kappa)$ . The normal element  $w = -ud + du + \epsilon$  satisfies  $w - \mu \in J$  where  $\mu = -\kappa + \epsilon$ . By Corollary 4.6 we can arrange that  $\mu$  is non-zero. Set  $x = d - 1$ . It only remains to check assumption (4). This holds because  $A = B \oplus J$  with  $x \in B = K[d]$ , and  $B$  is  $\sigma$ -invariant.  $\square$

## 5 Remarks and Problems.

- (a) We call a finitely generated module  $E$  over a left noetherian ring *uniserial* if the submodules of  $E$  are totally ordered by inclusion. For  $E$  uniserial define a descending chain of submodules  $\{E_\alpha\}$  as follows. For any ordinal  $\alpha$ , if  $E_\alpha \neq 0$  let  $E_{\alpha+1}$  be the unique maximal submodule of  $E_\alpha$ . For a limit ordinal  $\beta$  such that  $E_\alpha \neq 0$  for  $\alpha < \beta$ , set  $E_\beta = \bigcap_{\alpha < \beta} E_\alpha$ . There is a smallest ordinal  $\tau$  such that  $E_\tau = 0$ , and we call  $\tau$  the *depth* of  $E$ . As noted in the introduction to

[Jat69], it follows from [Jat69] Theorem 4.6, that for any ordinal  $\tau$  there is a left noetherian ring  $A$  such that the left regular module is uniserial with depth  $\tau$ . The modules  $M$  constructed using Theorem 2.2 with the aid of the results in Section 3 are all uniserial with depth  $\omega + 1$  where  $\omega$  is the first infinite ordinal. What other module depths are possible for uniserial modules over (two-sided) noetherian rings?

- (b) If  $N$  is as in Propositions 3.2 and 3.3 (resp. 3.5), then  $N$  is incompressible and critical by [CM] Theorems 3.1 and 4.2, (resp. Proposition 3.5 (c)). The first example of an incompressible and critical module was found by Ken Goodearl, see [Goo80], to which we refer for the definitions. In general is there a connection between rings that do not have  $A$  property  $(\diamond)$ , and incompressible critical modules?
- (c) Suppose that  $A$  is a Noetherian ring, and  $P$  an ideal of  $A$  such that  $A/P$  is simple artinian with simple module  $S$ . Is the injective hull of a  $S$  as an  $A$ -module locally artinian?
- (d) Define a noetherian ring  $A$  to be  $(\diamond)$  *extremal* if it does not have property  $(\diamond)$ , but every proper homomorphic image has property  $(\diamond)$ . What can be said about  $(\diamond)$  extremal rings? If  $A$  is an algebra over a field having finite Gelfand-Kirillov dimension and  $A$  is  $(\diamond)$  extremal, must  $A$  be prime? The Goodearl-Schofield example shows that this is not true without the GK dimension hypothesis. It seems likely that the algebra  $A_\eta$  in Theorem 4.2 is  $(\diamond)$  extremal. We note the following result.

**Proposition 5.1.** *Suppose that  $A$  is a  $K$ -algebra such that the endomorphism ring of every simple  $A$ -module is algebraic over  $K$ . If  $A$  is  $(\diamond)$  extremal the center  $Z$  of  $A$  is algebraic over  $K$ .*

*Proof.* If  $Z$  is not algebraic over  $K$  then, for every simple module  $L$ , the natural map  $Z \rightarrow \text{End}_A L$  has non-zero kernel  $\mathfrak{m}$ . Then if the injective hull of  $L$  as an  $A/\mathfrak{m}A$  is locally artinian, then so too is its injective hull over  $A$ , see [CLPY10] Proposition 1.6. Thus  $A$  cannot be  $(\diamond)$  extremal.  $\square$

The hypothesis that the endomorphism ring of every simple  $A$ -module is algebraic over  $K$  is known to hold for many algebras, for example it holds for almost commutative algebras (Quillen's Lemma) and for an algebra of countable dimension over an uncountable field.

## References

- [BR98] G. Benkart and T. Roby, *Down-up algebras*, J. Algebra **209** (1998), no. 1, 305–344, DOI 10.1006/jabr.1998.7511. MR1652138 (2000e:06001a) ↑6, 8, 9
- [BR99] ———, *Addendum: “Down-up algebras”*, J. Algebra **213** (1999), no. 1, 378, DOI 10.1006/jabr.1998.7854. MR1674692 (2000e:06001b) ↑6, 9
- [BGR73] W. Borho, P. Gabriel, and R. Rentschler, *Primideale in Einhüllenden auflösbarer Lie-Algebren (Beschreibung durch Bahnenräume)*, Lecture Notes in Mathematics, Vol. 357, Springer-Verlag, Berlin, 1973 (German). MR0376790 (51 #12965) ↑5
- [CM00] P. A. A. B. Carvalho and I. M. Musson, *Down-up algebras and their representation theory*, J. Algebra **228** (2000), no. 1, 286–310, DOI 10.1006/jabr.1999.8263. MR1760966 (2001j:16042) ↑7, 8, 9
- [CM] ———, *Monolithic modules over Noetherian Rings*, Glasgow Mathematical Journal *to appear*. arXiv:1001.1466 ↑1, 2, 4, 6, 10
- [CLPY10] P. A. A. B. Carvalho, C. Lomp, and D. Pusat-Yilmaz, *Injective modules over down-up algebras*, Glasg. Math. J. **52** (2010), no. A, 53–59, DOI 10.1017/S0017089510000261. MR2669095 ↑1, 2, 10
- [CH80] A. W. Chatters and C. R. Hajarnavis, *Rings with chain conditions*, Research Notes in Mathematics, vol. 44, Pitman (Advanced Publishing Program), Boston, Mass., 1980. MR590045 (82k:16020) ↑2
- [Coz70] J. H. Cozzens, *Homological properties of the ring of differential polynomials*, Bull. Amer. Math. Soc. **76** (1970), 75–79. MR0258886 (41 #3531) ↑2
- [Dah84] R. P. Dahlberg, *Injective hulls of Lie modules*, J. Algebra **87** (1984), no. 2, 458–471, DOI 10.1016/0021-8693(84)90149-2. MR739946 (85i:17011) ↑2
- [Goo80] K. R. Goodearl, *Incompressible critical modules*, Comm. Algebra **8** (1980), no. 19, 1845–1851, DOI 10.1080/00927878008822548. MR588447 (81k:16027) ↑10
- [GS86] K. R. Goodearl and A. H. Schofield, *Non-Artinian essential extensions of simple modules*, Proc. Amer. Math. Soc. **97** (1986), no. 2, 233–236, DOI 10.2307/2046504. MR835871 (87m:16029) ↑2
- [Jat69] A. V. Jategaonkar, *A counter-example in ring theory and homological algebra*, J. Algebra **12** (1969), 418–440. MR0240131 (39 #1485) ↑10
- [Jat74a] ———, *Integral group rings of polycyclic-by-finite groups*, J. Pure Appl. Algebra **4** (1974), 337–343. MR0344345 (49 #9084) ↑2
- [Jat74b] ———, *Jacobson’s conjecture and modules over fully bounded Noetherian rings*, J. Algebra **30** (1974), 103–121. MR0352170 (50 #4657) ↑1
- [KMP99] E. Kirkman, I. M. Musson, and D. S. Passman, *Noetherian down-up algebras*, Proc. Amer. Math. Soc. **127** (1999), no. 11, 3161–3167, DOI 10.1090/S0002-9939-99-04926-6. MR1610796 (2000b:16042) ↑6
- [Mus80] I. M. Musson, *Injective modules for group rings of polycyclic groups. I, II*, Quart. J. Math. Oxford Ser. (2) **31** (1980), no. 124, 429–448, 449–466. MR596979 (82g:16019) ↑2
- [Mus82] ———, *Some examples of modules over Noetherian rings*, Glasgow Math. J. **23** (1982), no. 1, 9–13. MR641613 (83g:16029) ↑2
- [Ros73] J. E. Roseblade, *Group rings of polycyclic groups*, J. Pure Appl. Algebra **3** (1973), 307–328. MR0332944 (48 #11269) ↑1
- [Ros76] ———, *Applications of the Artin-Rees lemma to group rings*, Symposia Mathematica, Vol. XVII (Convegno sui Gruppi Infiniti, INDAM, Rome, 1973), Academic Press, London, 1976, pp. 471–478. MR0407119 (53 #10902) ↑1, 2